



Ad-Hoc Query on EC SOLID Funds and Evaluation

Requested by UK EMN on 21st March 2014

Replies requested by 21st April 2014; extended to 12th June 2014

Compilation produced by the 21st July 2014

Responses from Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Estonia, Italy, Finland, France, Germany, Hungary, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Romania, Slovak Republic, Slovenia, Sweden, United Kingdom (22 in Total)

Disclaimer: The following responses have been provided primarily for the purpose of information exchange among EMN NCPs in the framework of the EMN. The contributing EMN NCPs have provided, to the best of their knowledge, information that is up-to-date, objective and reliable. Note, however, that the information provided does not necessarily represent the official policy of an EMN NCPs' Member State.

1. Background Information:

During the second half of 2014, the UK intends to review its evaluation of the SOLID Funds in terms of the evaluation methodology, scope, depth and outputs. This evaluation review will assist with forward planning for the evaluation of the new Asylum, Migration and Integration Fund (AMIF). We are keen to learn about evaluation activities among other MS and would be grateful for information on cost, method and activities.




Question: Could MS please provide the following information on how they evaluate the performance of the EC SOLID (Solidarity and Management of Migration Flows) funds, for the triennial period 2011-13.

For the triennial period 2011-13:






1. a) How much Technical Assistance did your MS allocate for 2011-2013?
b) Of this Technical Assistance budget, how much was/will be used for evaluation?
c) What is the percentage of total technical assistance budget allocated to evaluation?
2. Is the evaluation in your MS carried out by a government/civil service department, another organisation, external consultants or a mixture of these?
3. What methodology (e.g. documentary review, case study, focus groups) is used to evaluate the EC SOLID Funds within your MS? Please outline the main features of your evaluation programme.
4. Do all projects funded by the EC SOLID Funds receive the same level of evaluation?
 - (i) **If so**, what is the rationale for doing this?
 - (ii) **If not**, please provide some brief details of how you select projects for more in-depth evaluation.
5. Who is the person or people responsible for the evaluation (or management of the evaluation contract) of EC SOLID Funds in your MS? Please provide contact details.

We would very much appreciate your responses by **21st April 2014**.




2. Responses¹




	Austria	No	This EMN NCP has provided a response to the requesting EMN NCP. However, they have requested that it is not disseminated further.
	Belgium	Yes	<p>Preliminary notes: In Belgium there are 3 different Responsible Authorities (RA) for the 4 SOLID-funds:</p> <ul style="list-style-type: none"> • The Federal Agency for the reception of asylum seekers (Fedasil) for the ERF • The Immigration Office for the EBF and RF • The Federal Public Service Social Integration (and two Delegated Authorities: the Flemish Region and the Walloon Region) for the EIF <p>The information given hereunder concerns the ERF, EBF and RF and is mostly based on previous evaluation because it is not yet specifically known how the next evaluation will be executed (no guidelines, no template).</p> <ol style="list-style-type: none"> 1. <ol style="list-style-type: none"> a. BE allocated <u>2.062.512,64 €</u> to Technical Assistance (TA) for the RF, EBF and ERF over the 2011-2013 funding period. b. The amount used for evaluation will be <u>approx. 110.000 €</u> (estimation based on previous evaluation, for three evaluations ERF-EBF-RF). This includes at least costs for one (senior) staff member and external contractor(s). c. The percentage of total TA budget allocated to evaluation = <u>+/- 5,5%</u> (estimation). 2. The evaluation will partly be carried out by staff members of the respective RA's and partly by external consultants (for part C: results & outputs, planned/achieved + impact). 3. The methodology used (for all funds) is desk review of all documents (MAP, AP, reports, etc) on the basis of which an excel spreadsheet is filled in in order to analyze the outputs and outcomes + interview of beneficiaries to try to better understand the impact. 4. Yes, all projects are evaluated via a desk review + an interview of each beneficiary. 5. Person responsible for ERF: Tom Droeshout: tom.droeshout@fedasil.be Person responsible for RF and EBF: Stijn Lenjou: stijn.lenjou@ibz.fgov.be
	Bulgaria	Yes	<ol style="list-style-type: none"> 1. <ol style="list-style-type: none"> a) ERF AP 2011 – 60 240,93 euro; ERF AP 2012 – 60 130,00 euro; ERF AP 2013 – 60 087,60 euro; b) For ERF AP 2011 – 2 745,68 euro. For ERF AP 2012 – 3 294,82euro; For ERF AP 2013 – 3 294,82 euro; For Ex Post evaluation for the APs 2011-2013 – 1 647,41 euro. c) For AP 2011– 3,3% ; AP 2012 – 5,4%; AP 2013 – 5,4%. In total for the period 2011-2013 we plan to spend 10 982,72 euro. 2. It is an external civil Agency STRATEGMA that carries out the evaluation. 3. The used methodology for the evaluation of ERF APs is documentary review.

¹ If possible at time of making the request, the Requesting EMN NCP should add their response(s) to the query. Otherwise, this should be done at the time of making the compilation.

			<p>4. (i) The State Agency for Refugees (SAR) is Responsible authority for Bulgaria for ERF. AP 2011, AP 2012 and AP 2013 are planned for evaluation. In addition an Ex Post evaluation for 2011-2013 is planned. This external agency has been chosen according to the National Public Procurement Act for development of ongoing evaluations of the implementation of AP 2011, AP 2012 and AP 2013. With this we intend to enhance transparency and to assist in ensuring sound financial management. (ii) not applicable</p> <p>5. STRATEGMA Agency: address – Bulgaria, Sofia 1202, G.S. Rakovski 18 str., fl 4. E-mail – ngergishanova@strategma.bg</p>
	Croatia	Yes	
	Cyprus	Yes	<p>1. (a) The amount of Technical Assistance allocated to Cyprus for the 2011-2013 period is likely to be €1,781,423.00 of the total funding allocated. (b) The amount of Technical Assistance used for evaluation is estimated to be around €17,000.00 in total over the 2011-2013 funding period (c) The percentage of the total technical assistance budget allocated to evaluation is expected to be around 1,5%.</p> <p>2. The preparation of the evaluation report was awarded to an external contractor which was selected by a call for tenders.</p> <p>3. The evaluation report was prepared using a template provided by the EC, which consisted of a guidance document, an excel workbook to be filled in and a narrative report to be completed. The methodology used for the purpose of preparing the report was based on collecting relevant information on the implementation of the Annual Programmes, on areas required by the template provided. The methodology was based primarily on information collected and documented within the records kept by the Responsible Authority. Additional sources of information included national and European Community legislation, national statistics etc. Where necessary the information was analyzed and used within the report. In order to facilitate the evaluation process, a number of interviews were carried out with the appropriate personnel of the Responsible Authority during which additional data and/or classifications on data provided was requested and obtained.</p> <p>4. All projects received the same level of evaluation in accordance to the templates provided by the EC.</p> <p>The person responsible for the management of the evaluation contract for EC SOLID Funds in Cyprus is Mr Alecos Kelveris, akelveris@moi.gov.cy , tel.: +357 22 409999</p>
	Czech Republic	No	This EMN NCP has provided a response to the requesting EMN NCP. However, they have requested that it is not disseminated further.
	Denmark	Yes	
	Estonia	Yes	<p>1. a) Estonia was allocated following amount for technical assistance per fund: EIF 242,422 euro; EBF 354,832 euro, RF 157,700.79 euro, ERF III 151,191.47 euro. b) The amount used for evaluation of EIF will be around 25,000 euro in total. For EBF, RF and ERF III together about 40 000-60 000 euro (depending on the market prices of external service providers at that time).</p>

			<p>c) For EIF just under 11% of the TA budget, for EBF, RF and ERF III approximately 6%-9% of the TA budget.</p> <p>2) Evaluation in case of all SOLID funds is outsourced and carried out by an external service provider. The Responsible Authority (coordinator of the fund) of each fund is also responsible for evaluation. There is no separate person responsible solely for evaluation.</p> <p>3) For evaluation a mixture of methodologies are used: desk research (reports of the project etc.), in-depth interviews. For EIF also focus groups.</p> <p>4) All projects funded by the EIF receive the same level of evaluation. EIF is a part of the Estonian Integration Strategy, so results of projects are evaluated for the Government. Single projects will be only monitored during and after the implementation. For EBF, RF and ERF III evaluation will be carried out twice during the programming period as set in the basic acts. During these evaluations the results and impact of single projects will be evaluated too.</p> <p>5) Contact details per fund are following: EIF Ms Pille Penk (Pille.Penk@kul.ee) RF Ms Aigi Kasvand (aigi.kasvand@siseministeerium.ee) ERF III Ms Aivi Kuivonen (aivi.kuivonen@siseministeerium.ee) EBF Ms Karin Tahvonen (karin.tahvonen@siseministeerium.ee)</p>
+	Finland	Yes	<p>As set in the basic acts of the respective funds, each MS will submit an ex post evaluation report concerning the annual programmes 2011-2013 to the Commission so that the Commission for its part is able to report to the European Parliament by the end of December, 2015. Thus, Finland has not yet conducted an evaluation when it comes to the triennial period 2011-2013 of the SOLID funds. The implementation of the last Annual Programme (2013) will carry on until the end of June, 2015. Consequently, the evaluation report will be prepared during the latter half of 2015. As it matters the ex post evaluation report of the earlier annual programmes 2008-2010, Finland outsourced the evaluation. Ramboll consulting was chosen as the contactor. Finland has not yet made any decisions on the procurement process of the final evaluation.</p> <p>Answers:</p> <p>1.</p> <p>a) Technical assistance of the four funds amounts to a total of EUR 2 064 969 for the triennial period 2011-2013. b) As said, Finland has not yet made any decisions on how to conduct the evaluation report. c) See points a) and b) before.</p> <p>2. As for the APs 2008-2010 Finland used an external consultant. No decisions yet concerning the final evaluation report.</p> <p>3. Again, when it comes to the earlier evaluation process, the contractor gathered information by interviewing each of the projects implemented under the annual programmes. Furthermore, key persons in the field of activities funded by the funds were interviewed including the personnel of the RA, AA and CA. The contractor had full access to the annual final reports compiled by the RA as well as to project reports provided by the implementers.</p> <p>4. Yes. The evaluation is compiled in accordance with the COM forms.</p> <p>5. International Affairs Unit of the Ministry of the Interior is the RA for the four SOLID funds in Finland. The head of the unit responsible for</p>

			all contracts is Director General Ms Laura Yli-Vakkuri.
	France	Yes	<p>1. a) France allocated around €7,200,000 for technical assistance for 2011-2013. b) Around €1,500,000 were used for evaluation. c) It represents 21% of total technical assistance budget.</p> <p>2. The evaluation in France is carried out by external consultants.</p> <p>3. The methodology is mainly based on on-the-spot interviews as well as documentary review.</p> <p>4. Yes, all projects funded by the EC SOLID funds are evaluated and receive the same level of evaluation.</p> <p>5. Integration of third country nationals within the Asylum and Migration Fund (AMF): noemie.vanhersel@interieur.gouv.fr - +33 1 72 71 68 40 Asylum, refugees and resettlement within the AMF : yildiz.atis@interieur.gouv.fr - +33 1 72 71 65 05 Return within the AMF and External Borders and Visa Fund of the Internal Security Fund: delphine.bocchino@interieur.gouv.fr - +33 1 72 71 67 84 Pooled management of European Funds: fonds-eu-dgef@interieur.gouv.fr – Thomas Péguy: +33 1 77 72 61 36</p>
	Germany	Yes	<p>1. a) Germany received for the years 2011- 2013 in total 4.200.082,80 € (2011:1.181.585,56; 2012:1.412.928,80;2013:1.605.568,48;) b) The estimated amount for evaluation is about 200.000 € for evaluation. c) This amounts to about 15 % of the total technical assistance budget</p> <p>2. The Evaluation will be carried out by an external consulting company. For the previous evaluation period, 2008-2010, Ernst & Young was contracted.</p> <p>3. The European Commission provided the MS with templates in order to implement the evaluation process. These templates required a set of data and facts, as well as answers with regard to the effectiveness of the programme. In order to fulfil these requirements, the consulting company used different kind of methodologies. First there was an in-depth review of all the necessary documents, including e.g. the annual programmes, the reports about call of proposals, data of selected projects and their annual reports. Additionally, an analysis of the management by interviews with the Responsible Authority and beneficiaries was conducted. Since the next evaluation will also be undertaken by an external company, we expect a similar approach.</p> <p>4. All projects funded by the EC SOLID Funds were included in the evaluation. This was guaranteed especially by reviewing the necessary documents with regard to financial plans and annual reports. In order to verify the general findings of the documentary review and analysis, some project evaluations were extended by interviews with beneficiaries and further in-depth review of the relevant papers. Selections of these projects was conducted by a sampling method in order to guarantee a wide-spread representation.</p> <p>5. The contact person, responsible for evaluation is the current head of the RA: Ms Romy Bartels, romy.bartels@bamf.bund.de/ 00 49 0911 943 3900</p>
	Greece	Yes	

	Hungary	Yes	<p>1. a) For Hungary €46,861,633 of funding was allocated by the EC over the 2011-13 funding period. The amount used for Technical Assistance is likely to be €2,234,465 which is 4,77% of the total funding allocated. b-c) The amount used for evaluation was €47,558 in total regarding 2007-2010 period evaluated; approximately the same amount will be used for the period 2011-2013, which is around 2,13% of the Technical Assistance budget of the 2011-2013 allocation. This includes the cost of staff and the cost of external contractors.</p> <p>2) The evaluation was carried out by external consultants experienced on the field and members of the Responsible Authority which methodology is intend to be used for 2011-2013.</p> <p>3) Hungary uses a mixture of methodologies to evaluate the projects and therefore the Funds. It consists of documentary review, which produces an extensive and detailed Excel document that can be used to aggregate performance of the projects, in-depth interviews at the Responsible Authority and the Beneficiaries.</p> <p>4) Hungary conducts the ex-post evaluation as follows.</p> <ul style="list-style-type: none"> • All projects of all four funds go through documentary review which is an examination and analysis of their targets and achievements. • The effectiveness of the funds has been analyzed through the achievements of the projects in line with the targets set out in the Multi-Annual Programmes. <p>5) The person responsible for the evaluation of EC SOLID Funds in Hungary is Judit Tóth judit.toth@bm.gov.hu Tel (+36) 1 441 1131.</p>
	Ireland	Yes	
	Italy	Yes	<p>1.The total amount of COM funding allocated by EC for the implementation of Italian SOLID Funds over the 2011 – 2013 period is 344.238.691,94 Euro of which:</p> <ul style="list-style-type: none"> • 170.136.741,00 for EBF; • 98.266.951,22 for EIF; • 50.691.653,43 for ERF; • 25.143.346,29 for RF. <p>a. The amount allocated for Technical Assistance is likely to be 11.938.858,17, around 3,47% of the allocated funds for the 2011 – 2013 period, of which:</p> <ul style="list-style-type: none"> • 5.435.024,46 for EBF; • 3.292.386,00 for EIF; • 2.115.713,86 for ERF; • 1.095.733,85 for RF. <p>b. The evaluation system set up as part of the implementation of the Funds is largely supported by the monitoring system and first level checks. Thus, in order to estimate the weight of evaluation, we included all activities aimed to get information on the achievement of objectives and indicators. In line with this, we considered monitoring activities, quality checks performed by the AR and Interim/Final Assessment (to define the progress of projects both from a procedural and a financial point of view, to identify criticality, good practices, success factors and project outputs). as well as Evaluation activities according to CE Decisions. In particular monitoring procedures are</p>

provided to assess funded projects and include bimonthly monitoring form, Interim and final assessment reports, meetings with beneficiaries. Monitoring reports and data are submitted by beneficiaries on the web platform <https://www.fondisolid.interno.it/solid/>.

Project's and Annual programmes evaluation are also conducted by external experts. In particular an external evaluator, selected through specific announcement, carried out a report addressed to EC on 2008-2010 programming period and another evaluator will be soon selected to realize evaluation activities for 2011-2013 programming period. In light of this, in order to estimate the weight of evaluation on EFR and RF, we considered, in addition to the budget committed for external evaluator.

c. As previously stated, the evaluation system is composed of different parts and considering that the selection procedures for both external evaluators and Technical Assistance for 2011 – 2013 are currently ongoing and will be concluded in the next months, it's not possible to indicate the corresponding amount. We can estimate that the percentage of the TA budget allocated to evaluation is about the 15%.

2. As already did for the evaluation of 2007 – 2010, the IT Funds evaluation for 2011 – 2013 will be carried out by a combination of dedicated evaluation team within the national administration (Italian Ministry of Home Affairs) and external evaluators. The external evaluator will assess annual programmes and projects by ad hoc analysis based also on monitoring data, reports (final evaluation for each project, Interim and Final assessment report) and quality checks available. Outputs will be reviewed by the Responsible Authority. All the above mentioned activities will be supported by the technical assistance.

3. Projects will be examined through on desk analysis, monitoring and evaluation reports available (bimonthly monitoring forms fulfilled by beneficiaries, Interim and final assessment reports, final evaluation reports), panel interview to stakeholders and beneficiaries, assessment questionnaires on specific issues if necessary.

4. In line with the explanation on *evaluation* activities performed for EBF, provided at 1 b), the evaluation is carried out on two levels:

a. Each Action receives documentary review, in order to check the regularity, completeness and consistency of the contracts and expenditure documentation, as well as the compliance with COM and IT legislation;


b. Moreover, at least 40% of the Actions for each Annual Program is verified by the central Control Body for a more in-depth analysis, named "Quality Control", performed before the expenses payment. Actions to be controlled are selected on the basis of a risk analysis methodology that takes into account several criteria as the Actions amount, the expected procurement procedures and the specific Beneficiary/Partner. During Quality Controls, in-depth on-the-spot checks are performed by Responsible Authority, in order to verify the physical implementation of acquisitions foreseen by the Action and the achievement of objectives and indicators.



Regarding activities carried out on EIF – RF – FER, evaluation is carried out on the basis of quantitative and qualitative criteria:

a. **Quantitative:** Projects are selected during their implementation period on the basis of the information resulting from: bimonthly monitoring fiche and quantitative Interim assessment. In particular, the following evaluation criteria have been identified:

Performance < to 30%: risk.

Performance between 30% to 50%: medium. In this event, data are cross-checked with the percentage of the expenses paid reported in the latest monitoring fiche in order to verify the overall alignment.


			<p>Performance > to 50%: good. The fulfillment of implementation and result objectives is assessed at the end of projects activities. Final processing is gathered in a specific report highlighting recommendations about single actions which can be useful for future programming.</p> <p>b. <u>Qualitative:</u> Within focus groups, projects are analysed on the basis of:</p> <ul style="list-style-type: none"> - Plenary working sessions; - Group sessions led by a facilitator; - Beneficiaries filling in specific grids for projects analysis covering all the activities management issues. <p>Good practices and key success factors are identified on the basis of: Analytical Interim Assessment Analytical Final Assessment</p> <p>5. The person responsible for the evaluation of EBF is Mr. Dario CAPUTO, Director of the Technical Secretariat for the management of Community Funds and National Operational Programmes, Department of Public Security - Ministry of Home Affairs, Dario.caputo@interno.it Tel: +39 0646533607.</p> <p>The person responsible for the evaluation of EIF is Mr. Angelo MALANDRINO, RA of the Fund, Director of the Department Civil Liberties and Immigration - Ministry of Home Affairs, mariaassuntarosa@interno.it Tel: +39 0646537502.</p> <p>The person responsible for the evaluation of ERF is Mrs. Martha MATSCHER, Responsible of Office III – Asylum, special protections, Dublin Unit, Ministry of Home Affairs - Department of Civil Liberties and Immigration - Central Directorate Asylum and Immigration martha.mascher@interno.it Tel: +39 06 46536968</p> <p>The person responsible for the evaluation of RF is Mrs Paola ULIVI, Ministry of Home Affairs - Department of Civil Liberties and Immigration - Central Directorate Asylum and Immigration paola.ulivi@interno.it</p>
	Latvia	Yes	<p>1.</p> <p>a) LV was allocated €8,224,280 of funding by the EC for External Borders Fund, Return Fund and Refugee Fund over the 2011-13 funding period. The amount used for Technical Assistance in the 2011-2013 funding period will be €796,111.</p> <p>b-c) The amount used for evaluation for three Funds until now was €3,305 in total over the 2011-13 funding period, just under 0.4% of the Technical Assistance budget. This includes the cost of external contractor.</p> <p>2) The evaluation was carried out by external contractor (evaluator). The Ministry of Interior as the Responsible Authority for three Funds concluded a contract in order to carry out mid-term evaluation in accordance with the regulations of the Funds.</p> <p>3) To evaluate the projects and therefore the Funds LV used the following: documentary review, analysis of management information, desk research, and in-depth interviews with the Final Beneficiaries and other stakeholders.</p> <p>4) Within the mid-term evaluation the evaluator in order to collect data prepares a checklist with the questions regarding the projects, make in-depth interviews with the Final Beneficiaries, representatives of the Responsible Authority, and prepares draft evaluation report. Prepared evaluation report is discussed with the Responsible Authority and approved by the Management Committee of the Funds.</p>



			5) The person responsible for the evaluation of EC SOLID Funds (three above mentioned) in LV is Jānis Zvilna, janis.zvilna@iem.gov.lv , Tel (+371) 67219504.
	Lithuania	Yes	<p>EC Solid funds in Lithuania are administered by two ministries: European Fund for the Integration of Third Country Nationals (EIF) and European Refugee Fund (ERF) are administered by the Ministry of Social Security and Labour; European Return Fund (RF) and European External Borders Fund (EBF) are administered by the Ministry of Interior.</p> <p>1.</p> <p>a) Technical Assistance for ERF programmes was approximately 159 000 EUR, for EIF programmes – approximately 185 000 EUR, for RF – 200 378 EUR, for EBF – 2 203 976 EUR.</p> <p>b) 9% of Technical Assistance budget was used for RF fund evaluation and 2% for EBF fund evaluation. For EIF and EFR funds the evaluation will be implemented in 2015. The European Commission has not provided an evaluation model yet, therefore evaluation procedures haven't been started in Lithuania and the Ministry of Social Security and Labour cannot provide information on how much of Technical Assistance budget will be used for evaluation.</p> <p>c) About 3-5 percent of the total Technical Assistance budget.</p> <p>2. It is planned that evaluation of EIF and ERF funds will be carried out by an external expert who is selected under public procurement procedures. For ERF and EIF funds the parts which have to be filled out by responsible institution will be done by the Ministry of Social Security and Labour. RF and EBF funds were evaluated by external expert.</p> <p>3. Documentary/data review and interviews with institutions/organizations that received funding from ERF/EIF. The main criteria evaluating the ERF/EIF 2007/2008–2010 programmes were usefulness, continuation, efficiency and impact. For evaluating RF and EFF funds the methodology was chosen by external experts.</p> <p>4. Yes, all projects received the same level of evaluation.</p> <p>5. The Ministry of Social Security and Labour, Department of European Union Structural Support, Structural support management division (Violeta.Plotnikoviene@socmin.lt; Dovile.Kinaitiene@socmin.lt) coordinates the evaluation of EPF/EIF programmes, also hires external experts and provides the reports for the European Commission. The Ministry of the Interior, Regional policy department coordinates the evaluation of RF and EBF funds.</p>
	Luxembourg	Yes	<p>1.</p> <p>a) Luxembourg was allocated 4.764.401,02 € of funding by the EC SOLID Funds during the period 2011 – 2013. They were divided:</p> <ul style="list-style-type: none"> - Refugee Fund: 1.511.215,40 € (Responsible authority: Luxembourg Reception and Integration Office) of which 150.365,86 € (9,9%) were allocated to Technical Assistance (TA)² - Integration Fund: 1.873.053,27 € (Responsible authority: Luxembourg Reception and Integration Office) of which 164.917,81 € (8,8%)

² In regards to the European Refugee Fund (EFR) the amounts allocated for technical assistance are : 48'797,38€ for the 2011 programme, 48'300,80€ for the 2012 programme and 53'267,68€ for the 2013 programme.

		<p>were allocated to TA³</p> <ul style="list-style-type: none"> - Return Fund: 1.089.253,06 € (Responsible authority: Directorate of Immigration, Ministry of Foreign and European Affairs), of which 29.395 € (2,3%) were allocated to TA. - External Borders Fund: 290.879,29 € (EBF) (Responsible authority: Central Unit of the Police at the airport). No technical assistance was allocated. <p>b)</p> <p>Refugee Fund: 79.737,5€ (2011 : 14'237,5€ (already used); 2012 : 14'237,5€ ; 2013 : 51'262,5€ (this amount comprehends the second report ex-post)</p> <p>Integration Fund: 84.783,75 € (2011: 23.776,25€ ; 2012: 23.776,25€; 2013: 37.231,25€)</p> <p>Return Fund: The total amount allocated to TA is to be used for the cost of external contractors for evaluation purposes.</p> <p>External Borders Fund: not applicable.</p> <p>c)</p> <p>Refugee Fund: 53%</p> <p>Integration Fund: 51,4%</p> <p>Return Fund: 100%</p> <p>External Borders Fund: not applicable.</p> <p>2.</p> <ul style="list-style-type: none"> • Refugee Fund and Integration Fund: The evaluation of the EIF and ERF has been assigned to two independent experts (on expert per fond), who have not administrated directly or indirectly any chosen project in the framework of the EIF and/or the ERF. These experts are in charge of the external evaluation of the annual programmes 2007-2013 (EIF) and 2008-2013 (ERF). Their results are used not only for the annual evaluation of the programmes but also for the multiannual evaluation as required by the European regulation. This technical assistance facilitates the task of the responsible authority in the drafting and preparation of the reports to be sent to the European Commission. • Return Fund: Evaluations are carried out by the Directorate of Immigration with recourse to external contractors. • External Borders Fund: not applicable. <p>3.</p> <ul style="list-style-type: none"> • Refugee Fund and Integration Fund: The technical assistance contract includes data collection related to the performance indicators (accomplishment indicators) foreseen in the national programmes and the production for Luxembourg of all the tables and analysis required for establishing the reports to be sent to the Commission. • Return Fund: RA is for most actions the final beneficiary and produces and collects all information about progress and results of actions realized under RF. If RA acts as awarding body, projects are evaluated mainly on documentary review. • External Borders Fund: not applicable.
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³ In regards to the European Integration Fund (EIF), Luxembourg has allocated to technical assistance, 52.640,53€ for the 2011 programme, 53.515,96€ for the 2012 programme and 58.761,32€ for the 2013 programme.

		<p>4.</p> <ul style="list-style-type: none"> • Refugee Fund and Integration Fund: All the projects of EFR and EIF are evaluation in the same manner. Seen the limited numbers of co-financed projects by the ERF (approximately 5 per programme) and EIF (approximately 15 per programme), it is possible that all the co-financed projects can be analyzed by an external audit (evaluator). • Return Fund: For projects realized under executing mode, evaluation focuses on quantifiable results of projects. Under awarding mode, documentary review is the main component of evaluation practice. • External Borders Fund: not applicable. <p>5.</p> <p>For the management of evaluation of EIF: Conny Heurtz Office luxembourgeois de l'accueil et de l'intégration 7-9, avenue Victor Hugo L-1750 Luxembourg conny.heurtz@olai.etat.lu Tél. +352 247 85732</p> <p>For the management of evaluation of ERF : Christiane Welter Office luxembourgeois de l'accueil et de l'intégration 7-9, avenue Victor Hugo L-1750 Luxembourg Tél. (+352) 2478-5721 . Fax (+352) 2478-5720 E-mail : christiane.welter@olai.etat.lu</p> <p>Return Fund: Manon Unsen (manon.unsen@mae.etat.lu; (Tél: + 352 2478 8593)</p> <p>External Borders Fund: Léon LUDOVICY (leon.ludovicv@police.etat.lu (Tel : +352 4997 2200) et/ou Marc BINZ (marc.binz@police.etat.lu (Tel : +352 4997 2200)</p>
	Malta	Yes <p>1.</p> <p>a) For the years 2011 – 2013 Malta committed a total amount of €710,970.14 to Technical Assistance.</p> <p>b) An ex-post evaluation was carried out at in 2012 and another one is envisaged at the end of the implementation of the Programme. The 2012 evaluation came to cost the amount of €50,000. In addition ongoing evaluation is undertaken on the projects, whereby officers carry out on the spot check of the projects and assessments are made in respect to the achievement of indicators. In addition, consultation sessions and trainings are organised for beneficiaries, wherein they are provided the for a to give their views on the implementation of the programmes.</p> <p>c) Hence from the 2013 AP allocation for the evaluation of the programme, the amount outlined above would be of around 3.5%.</p> <p>2) The evaluation exercise carried out in 2012, the Responsible Authority (RA) entrusted the Management and Efficiency Unit (MEU) within the Office of the Prime Minister to carry out the evaluation, since MEU is government's in house management consultancy agency and is</p>

			<p>independent from the RA. Ongoing evaluation of the project is then carried out in house by the staff within the RA.</p> <p>3) For the 2012 Evaluation, research and discussions with the RA and the stakeholders involved in the implementation of the programme were carried out together with an analysis of all the programming documents and other official documents. Interviews were carried out with all the project leaders and implementing organisations, and this was backed up by a written questionnaire. Data was then collected and compiled in a list of findings. With respect to the ongoing evaluation of the projects by the RA, bilateral meetings are organised with the beneficiaries where concerns are raised, spot checks are carried out to evaluate the achievements made on the projects and trainings and consultation sessions are organised providing the fora for discussion and evaluation of the methods being used. Apart from this, interim reports and final reports are requested from the beneficiaries from which the RA carries out an internal evaluation of the implementation of the funds.</p> <p>4.</p> <p>i) Yes all the Funds are provided with the same level of evaluation, as all actions undertaken are given their due importance ii) Not applicable</p> <p>5) There is no specific person who is in charge of the evaluation of SOLID Funds. However, the RA as part of its tasks conducts such Evaluation. For more information kindly contact Mr Christopher Magri Director Funds on christopher.magri@gov.mt</p>
	Netherlands	Yes	<p>1.</p> <p>a) The NL was allocated €71.861.818 of funding by the EC over the 2011-13 funding period. The amount used for Technical Assistance is likely to be €3.119.753, about 4% of the total funding allocated b) The amount used for evaluation of the 2011-2013 funding period (in accordance with the Basic Act) has to be determined yet. The greater part of the evaluation will probably be carried out by an external contractor. c) See b).</p> <p>2) The evaluation will be carried out under the supervision of the RA by an external contractor and is subject to the internal procurement rules.</p> <p>3) Like the UK the NL will probably use a mixture of methodologies to evaluate the projects and therefore the Funds – documentary review, analysis of management information, desk research, in-depth interviews and focus groups.</p> <p>4) The Netherlands works with final grant agreements for all four Funds. The final beneficiaries are required to provide information with regard to their targets and achievements in their progress- and final report. After submitting the final report all projects are reviewed on the spot including an analysis of the targets and achievements and a short text is produced for each case study analyzing its performance against targets and reasons for over- and under-achievement. This text is included in the final grant agreement. The information on the individual projects is then used to analyze the results in the wider context of its contribution to the NL annual and multi-annual plans. This is the operating standard. Any additional activities required (in accordance with the Basis Act) have yet to be determined, but will be in line with the mid-term review.</p> <p>5) The person responsible for the evaluation of EC SOLID Funds in the NL is Hein Koller h.j.koller@minvenj.nl Tel (+31) 6 24672274.</p>
	Poland	Yes	<p>1.</p> <p>a) Poland was allocated 2.838.109,40 EUR of TA over the 2011-13 funding period. b) The amount used for evaluation was 10.078,68 EUR under 2011 allocation. c) The percentage of total TA budget allocated to evaluation was 0,3551%.</p>

EBF:

2. The evaluation report has been drawn up by RA Representatives (Parts I-III, Annex 1) together with an external expert appointed following a contest procedure (Part IV, Annex 2).

3. In order to draft the report the RA reviewed various programme documents via desk research. Furthermore, the RA asked partners to prepare and deliver the substantive input and numeric data required for reporting purposes. A statistical analysis of the findings of the quantitative survey was carried out and the data were compiled in Ms Excel spreadsheet. A meeting with the partners' representatives was convened to hold a brainstorm in order to clarify the problems encountered while gathering necessary data at a given stage of a project. The external expert who was appointed for the purpose of conducting a survey entitled "*Evaluation of the results and impact of measures co-financed under the External Border Fund in programming period 2007 – 2010*" has compiled the data necessary to supplement Part IV and Annex 2.

The external expert carried out individual in-depth interviews (Individual in-Depth Interview) with the RA and DA representatives. Furthermore, for the purpose of the sample survey, i.e. the partners whose expenditure under Annual Programmes 2007-2010 had been certified by 30 June 2012 were selected. The partners were obliged to participate in the survey PAPI (Paper And Pencil Interview) and the results of the survey were reviewed by the external expert.

4. For the purpose of sample survey, i.e. the partners whose expenditure under Annual Programmes 2007-2010 had been certified by 30 June 2012 were selected. In total, 37 projects were evaluated.

5. The persons responsible for the evaluation of the EBF in Poland are: Łucja Chrobot, E-mail: lucja.chrobot@msw.gov.pl, tel.: (+48 22 6013638), Karolina Chrzanowska E-mail: karolina.chrzanowska@msw.gov.pl, tel.: (+48 22 6014305), Unit for EU Funds and Border Management Department for International Cooperation and European Funds, Ministry of the Interior.



RF:





2. As for the RA, RA in cooperation with DA prepared and sent to the EC two evaluation reports. One for allocations: 2008-2009 and the second one for the period: 2008-2010.


3. In order to draft the report the RA reviewed various programme documents via desk research. Furthermore, the RA asked partners to prepare and deliver the substantive input and numeric data required for reporting purposes. A statistical analysis of the findings of the quantitative survey was carried out and the data were compiled in Ms Excel spreadsheet. The partners were also asked to answer questions relating to efficiency, effectiveness, added value and impacts of the Return Fund.

4. For the purpose of the evaluation report under the 2008 AP 6 projects were evaluated (3 – awarding procedure and 3 – executing procedure), under the 2009 AP – 8 (4 – awarding procedure and 4 – executing procedure), the 2010 AP – 9 (5 – awarding procedure and 4 – executing procedure).

5. The persons responsible for the evaluation of the RF in Poland are: Monika Cieślak-Kondraszuk, e-mail: monika.cieslak@msw.gov.pl, tel.: (+48 22 6011812), Justyna Fuksiewicz, e-mail: justyna.fuksiewicz@msw.gov.pl, tel.: (+48 22 6013639), Unit for EU Funds and Border Management Department for International Cooperation and European Funds, Ministry of the Interior.

			<p>ERF:</p> <p>2. As for the ERF, the private consultancy with experience in evaluating social interventions was selected following an open call for bids.</p> <p>3. The evaluation methodology comprised the following research techniques:</p> <ul style="list-style-type: none"> - Desk research of the programming documents and other documents concerning the evaluated field - Individual in-depth Interviews (IDI) - Interviews (IDI) were performed with the employees of institutions involved in ERF implementation, including the RA and the representative of DA. <p>4. The scope of the evaluation includes 45 projects under the ERF Annual Programmes 2008-2010.</p> <p>5. The persons responsible for the evaluation of the ERF in Poland are: Łukasz Żewakowski, e-mail: lukasz.zewakowski@msw.gov.pl, tel.: (+48 22 6011521), Wojciech Krawczyk, e-mail: Wojciech.krawczyk@msw.gov.pl, tel.: (+48 22 6011521), Unit for EU Funds and Border Management Department for International Cooperation and European Funds, Ministry of the Interior.</p>
	Portugal	Yes	
	Romania	Yes	<p>1.</p> <p>a)</p> <p>AP 2008: ERF: 65.000 EUR, IF: 70.000 EUR, RF: 60.000 EUR AP 2009: ERF: 57.991,28 EUR, IF: 70.000 EUR, RF: 82.846 EUR AP 2010: ERF: 65.000 EUR, IF: 70.000 EUR, RF: 70.000 EUR AP 2011: ERF: 59.500 EUR, IF: 75.000 EUR, RF: 80.000 EUR</p> <p>b)</p> <p>AP 2010: 21.385,78 EUR AP 2011: 14.351,40 EUR</p> <p>c)</p> <p>20% - AP 2010 16% - AP 2011</p> <p>2. The evaluation is carried out by external consultants</p> <p>3. Methodologies that are used: documents review, interviews, on site observations</p> <p>4.</p> <p>(i) Projects are evaluated similarly (ii) Not applicable</p> <p>5. The persons responsible for evaluation of the SOLID Funds are:</p> <ul style="list-style-type: none"> - Gabriel BARBATEI – gabriel.barbatei@mai.gov.ro

			- Oana VASILE – oana.miloiu@mai.gov.ro
	Slovak Republic	Yes	<p>1.</p> <p>a) The resources allocated for Technical Assistance for the programme years 2010 – 2012 (calendar years 2011 – 2013): 823 689, 24 EUR Spending for the calendar years 2011 - 2013: 796 344, 73 EUR</p> <p>b) The resources used for the evaluation of the grant applications within the relevant calls for applications 2011 - 2013: 19 558,64 EUR The resources used for the ex-post evaluation reports ERF and EIF: <u>8 280,00 EUR</u></p> <p>c) -</p> <p>2. Evaluation will be carried out in accordance with the EU requirements. Most probably it will be a mixture of a ministerial department aware of the specific policies and thus eligible to carry out the evaluation, and of an external contracted evaluator for the areas where the Ministry cannot provide efficient and independent evaluation.</p> <p>3. Slovak Republic has not developed a specific evaluation programme for SOLID funds and will only follow the requirements of basic acts. The evaluation of the EC SOLID funds has been done through thorough evaluation reports which are regularly submitted: work progress reports regarding the fulfilment of annual plans, annual reports about the fulfilment of annual plans, evaluation reports about results and impact of actions co-financed from the 2007- 2010 fund and the fund for 2011 – 2013. The main feature of the evaluation programme is its ability to assess execution of actions, results (planned and reached) and the impact of actions (impact and effect of annual programmes) co-financed from the funds.</p> <p>4. During the evaluation of the projects financed from the EC SOLID funds, special emphasis is put on the efficiency, economy and usefulness of the expenditures assessed in the grant application, its compliance with the call for applications, annual programme, and the rules of the legitimacy of the funds. Each project undergoes evaluation (as for the administrative compliance) in 2 levels - evaluation of the quality and financial evaluation of the project by internal (employees of the Ministry of Interior of the Slovak Republic) and external evaluators.</p> <p>5. N.A.</p>
	Slovenia	Yes	<p>1.</p> <p>a) 1,8 M€; b) 60 K€ (only external evaluation, monitoring is not included in the amount); c) 3%</p> <p>2. External consultants.</p> <p>3. Evaluation is based on the SOLID documents.</p> <p>4. Yes. SOLID guidelines.</p> <p>5. Responsible authority which contains several different departments in the Ministry of the Interior, email: eu.mnz@gov.si</p>
	Spain	Yes	
	Sweden	Yes	1.

			<p>a) The amount used for Technical Assistance is likely to be 1 866 667 EUR , around 4% of the total funding allocated. b-c) The amount used for evaluation will be around 245 260 EUR in total over the 2011-13 funding period, about 13% of the Technical Assistance budget. This includes the cost of two members of staff (RA) and the cost of external contractors.</p> <p>2. Part of the evaluation was carried out by the RA. For the parts that was mandatory to be carried out by an independent evaluator we contracted an external consultant by public procurement.</p> <p>3. A mix of qualitative and quantitative study by documentary study, analyzing reported results from the projects, interviews with some key persons, and questionnaire to all the final beneficiaries (project owners).</p> <p>4. (i) All projects have the same templates and we have for the later programming years adopted the projects report templates to be more adjusted to what the COM is asking for, i.e. the 6B tables. (ii) Not applicable</p> <p>5. Head of Unit for European Funds Margareta Bergman, margareta.bergman@migrationsverket.se and expert Erika Vagasy Erika.vagasy@migrationsverket.se</p>
	United Kingdom	Yes	<p>1. a) The UK was allocated €179,897,126 of funding by the EC over the 2011-13 funding period. The amount used for Technical Assistance is likely to be €7,257,884, 4% of the total funding allocated b-c) The amount used for evaluation will be around €1,227,391.2 in total over the 2011-13 funding period, just under 17% of the Technical Assistance budget. This includes the cost of two members of staff and the cost of external contractors.</p> <p>2. The evaluation is carried out by Migration and Border Analysis within the Home Office department of the government. Migration and Border Analysis is a unit of specialist qualitative and quantitative social researchers, economists and evaluators. Migration and Border Analysis contracts out some of the evaluation to external research organizations, and manages these contracts.</p> <p>3. The UK uses a mixture of methodologies to evaluate the projects and therefore the Funds – documentary review, which produces an extensive and detailed Excel spreadsheet that can be used to aggregate performance of all projects across all targets, analysis of management information, desk research, in-depth interviews and focus groups.</p> <p>4. The UK conducts evaluation on three levels, in accordance with the principle of proportionality.</p> <ul style="list-style-type: none"> • All projects across all three funds the UK receives (EIF, RF and ERF) receive documentary review – an examination of all their documents and analysis of their targets and achievements. The data are kept in a comprehensive Excel spreadsheet. • 20 projects per funding year (across the three funds) are then selected for more in-depth analysis. Projects are selected to give a cross-section of fund, level of funding (50% or 75%), amount of funding, type of project, type of organization running the project, project aims and geographical location. In-depth interviews and focus groups are carried out with staff and users, and a short report is produced for each case study analyzing its performance against targets and reasons for over- and under-achievement. • Particularly large projects that are allocated comparatively larger amounts of funding may also receive separate evaluation at a greater depth than case studies. This evaluation will look at further reasons for the project over- or under-achieving targets, review

		<p>management information as appropriate and will place the success or failure of the project to meet its targets in the wider context of its contribution to the UK annual and multi-annual plans.</p> <p>5. The person responsible for the evaluation of EC SOLID Funds in the UK is Susannah Macpherson Susannah.macpherson@homeoffice.gsi.gov.uk Tel (+44) 207 035 8690.</p>
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